



JSC "RIETUMU BANKA"
 VESETAS 7 / RIGA
 LV-1013 / LATVIA
 REG. No. 40003074497 / RTMBLV2X
 BLOOMBERG: RIET
 TELEPHONE +371 67025555
 FAX +371 67025588
 info@rietumu.lv
 www.rietumu.com

POLITICALLY EXPOSED PERSON'S IDENTIFICATION CARD

Date ____/____/ 20____

Approved by the Board of JSC "Rietumu Banka", Minutes No. 36, 21.10.2019

COMPLETE IN BLOCK CAPITALS

Client _____
(corporate entity: full name / private individual: name, surname)

Passport / Identity document / Registration No. _____

Hereby I confirm that:

- Beneficial Owner¹ of the Client (corporate entity) / Client (private individual)
- a holder of the shares of the Client's company whose share ownership does not exceed 24.99% of the total number of shares of the Client's company
- Client's official (member of the board / council etc.)
- authorised representative of the Client

is / was

- Politically exposed person²
- member of the family of a Politically exposed person³:

(please specify name and surname of a member of the family of a politically exposed person, and degree of kinship)

- person closely related to a Politically exposed person⁴:

(please specify name, surname of a person closely related to a politically exposed person, and explain his/her relatedness with a politically exposed person)

Politically exposed person _____ **Country** _____
(name, surname)

Position held / position held earlier:

- | | |
|--|---|
| <input type="checkbox"/> the head of the state | <input type="checkbox"/> the head of the government |
| <input type="checkbox"/> the head of administrative unit (municipality) of the state | <input type="checkbox"/> a minister (a deputy minister or an assistant deputy minister, if such a position exists in the state) |
| <input type="checkbox"/> another high-ranking official in the government or in the administrative unit (municipality) of the state | <input type="checkbox"/> a state secretary |
| <input type="checkbox"/> a member of the parliament or a similar legislative framework | <input type="checkbox"/> a member of the governing body (board) of a political party |
| <input type="checkbox"/> a judge of the constitutional court, a judge of the supreme court or a judge of another court (member of a judicial institution) | <input type="checkbox"/> a member of the council or of the board of the supreme audit institution |
| <input type="checkbox"/> an ambassador or a chargé d'affaires | <input type="checkbox"/> a member of the council or of the board of a Central bank |
| <input type="checkbox"/> a high-ranking military officer | <input type="checkbox"/> a member of the council or of the board of a state-owned enterprise |
| <input type="checkbox"/> the head (director, deputy director) of an international organization and a member of the board or a person who holds an equivalent position in that organization | |
| <input type="checkbox"/> other (please specify) _____ | |

X

(signature of Client's Representative)

¹ **Beneficial owner** – a natural person who owns or controls a client-corporate entity, or for whose benefit or in whose interests the business relationship is being established or an individual transaction is being executed, and it is at least:

- a) in respect of corporate entities – a natural person who owns or directly or indirectly controls over 25 per cent of the corporate entity's fixed capital or the voting shares, or who directly or indirectly controls the corporate entity's activity;
- b) in respect of legal establishments – a natural person who owns or in whose interests a legal establishment is created or operates, or who directly or indirectly exercises the control over it, including who is the founder, trustee or supervisor (manager) of this establishment.

² **Politically exposed person** – a person who is entrusted or has been entrusted with prominent public functions in the Republic of Latvia, in another EU or EEA Member State or a third country, including the head of the state, the head of administrative unit (municipality) of the state, the head of the government, a minister (a deputy minister or an assistant deputy minister, if such a position exists in the state), a state secretary or another high-ranking official in the government or in the administrative unit (municipality) of the state, a member of the parliament or a similar legislative framework, a member of the governing body (board) of a political party, a judge of the constitutional court, a judge of the supreme court or a judge of another court (member of a judicial institution), a member of the council or of the board of the supreme audit institution, a member of the council or of the board of a central bank, an ambassador, a chargé d'affaires, a high-ranking military officer, a member of the council or of the board of a state-owned enterprise, the head (director, deputy director) of an international organization and a member of the board or a person who holds an equivalent position in that organization.

³ **Member of the family of a politically exposed person** – a person who is: a) a spouse of a politically exposed person or a person equivalent to a spouse. A person shall be treated as equivalent to a spouse provided that the laws of the respective country contain a provision for such status, b) a child of a politically exposed person or a child of a spouse of a politically exposed person, or of a person equivalent to a spouse, his/her spouse or a person equivalent to a spouse, c) parents, grandparents and grandchildren of a politically exposed person, d) brothers and sisters of a politically exposed person.

⁴ **Person closely related to a politically exposed person** – a private individual who is publicly known to have business relationship or other close relationship with a politically exposed person, or who is a shareholder or a participant in the same commercial company with any politically exposed person, and a private individual that is a sole owner of a legal arrangement that is known to be established for the benefit de facto of any politically exposed person.

Hereby I confirm that all information provided herein is true.

Hereby I confirm that I am aware of the liability, including criminal responsibility for providing false data or wilful non-disclosure of information.

I acknowledge that I have read and agree to the Client Personal Data Protection Notice (available on the website www.rietumu.com).

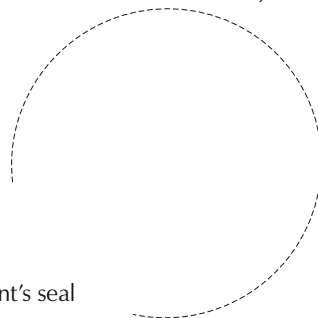
Client represented by _____
(name, surname)

X _____
(signature)

Rietumu ID _____

Test-key _____

Client's seal



TO BE COMPLETED BY BANK EMPLOYEE

I confirm the identity and signature of the Client or the Client's Representative

Passport / Identity document No. _____

_____ X _____ Date ____ / ____ / 20____
(name, surname) (signature)